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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | 1010 Rec'd PCT/PTO 29 APR 2005 <small>ATTORNEY'S DOCKET NUMBER 19170-002US1 FR03/03205 US</small> <small>U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)</small> 107533377 |
| <small>INTERNATIONAL APPLICATION NO.</small> PCT/FR2003/003205 | <small>INTERNATIONAL FILING DATE</small> 28 October 2003 | <small>PRIORITY DATE CLAIMED</small> 29 October 2002 |
| TITLE OF INVENTION HIGH PURITY PHTHALEIN DERIVATIVES AND METHOD FOR PREPARING SAME | | |
| APPLICANT(S) FOR DO/EO/US Joanne Tran-Guyon and Francois Scherninski | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: English Translation of International Search Report | | |
| CERTIFICATE OF MAILING BY EXPRESS MAIL <small>Express Mail Label No. EV 664065805 US</small> <small>Date of Deposit: April 29, 2005</small> | | |

U.S. APPLICATION NO. 107533377

INTERNATIONAL APPLICATION NO. JC20 Recd PCT/PTO 29

APR 2005
107533377-002USI

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|--|--------------|---|--------------|---------------------------------|--------|
| The following fees have been submitted | | | | CALCULATIONS PTO USE ONLY | |
| 21. <input checked="" type="checkbox"/> Basic national fee \$300 | | | | \$300 | |
| 22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). All other situations..... | | | | \$200.00 | |
| 23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations..... \$500 | | | | \$400.00 | |
| Total of 21, 22 and 23 = | | | | \$900.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | RATE | |
| -100 = | /50= | | | X \$250 | \$0.00 |
| Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(h)). | | | | \$0.00 | |
| CLAIMS | | NUMBER FILED | NUMBER EXTRA | RATE | |
| Total Claims | | 32 - 20 = | 12 | x \$50 \$600.00 | |
| Independent Claims | | 6 - 3 = | 3 | x \$200 \$600.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$360 \$0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$2,100.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by 1/2. | | | | \$1,050.00 | |
| | | | | SUBTOTAL = \$1,050.00 | |
| Processing fee of \$130 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(i)) | | | | + \$0.00 | |
| | | | | TOTAL NATIONAL FEE = \$1,050.00 | |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property | | | | + \$0.00 | |
| TOTAL FEES ENCLOSED = | | | | \$1,050.00 | |
| | | | | Amount to be refunded: | \$0.00 |
| | | | | Amount to be charged: | \$0.00 |
| a. <input checked="" type="checkbox"/> A check in the amount of \$1,050.00 to cover the above fees is enclosed. | | | | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed. | | | | | |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| PTO Customer No: | | 26161 | SIGNATURE | Y. Rocky Tsao | |
| NAME _____ Y. Rocky Tsao | | | | | |
| 34,053 | | | | | |
| REGISTRATION NUMBER | | | | | |

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ATTORNEY'S DOCKET NUMBER
19170-002US1 FR03/03205 US

U.S. APPLICATION NO. (If none, see 37 C.F.R. 1.5)

10/533377

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.
PCT/FR2003/003205INTERNATIONAL FILING DATE
28 October 2003PRIORITY DATE CLAIMED
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HIGH PURITY PHTHALEIN DERIVATIVES AND METHOD FOR PREPARING SAME

APPLICANT(S) FOR DO/EO/US

Joanne Tran-Guyon and Francois Scherninski

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- This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- This is an amendment to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items



FISH & RICHARDSON P.C.

225 Franklin Street
Boston, Massachusetts
02110-2804

c193535

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DATE

AMOUNT

Apr. 29 2005 (\$1,050.00)

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BY

KYM/19170.002US1

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Fleet Maine, N.A.
www.fleet.com
South Portland, ME

11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.

12. An ass

13. A preli

14. An Ap

15. A subs

16. A pow

17. A con

18. A sec

19. A sec

20. Othe

Engl

A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.

| | | | |
|---|---|--|--|
| Attorney's Docket No. 19170-002US1 | Express Mail Label No. EV 664065805 US | Mailing Date April 29, 2005 | For PTO Use Only Do Not Mark in This Area |
| Application No. | Filing Date | Attorney/Secretary Init YRT/kym/dzs | |
| Title of the Invention HIGH PURITY PHTHALEIN DERIVATIVES AND METHOD FOR PREPARING SAME | | | 10/533377 |
| Applicant Joanne Tran-Guyon et al. | | | |
| Client Reference No. FR03/03205 US | | | |
| Enclosures | | | |
| - Transmittal Letter (2 pages) - Patent Application (24 pages of specification, 7 pages of claims, 21 total claims, 1 pages of abstract) - Check in the amount of \$1.00 - Drawings (informal, 6 sheets) - Verification of Translation - Preliminary Amendment (11 pages) - English translation of Version with corrections (amended sheets 10 pages) - English Translation of International Search Report | | | |

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9, 2005